

## REVERSE-BIAS LEAKAGE IN SCHOTTKY DIODES

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Reverse-bias current–voltage ( $I_R$ – $V$ ) characteristics of Al- $n$ /GaAs Schottky diodes have been studied in a temperature range from 92 to 333 K. The results are explained on the basis of phonon-assisted tunnelling model. It is shown that the temperature dependence of the reverse current  $I_R$  could be caused by the temperature dependence of the electron tunnelling rate from traps in the metal–semiconductor interface to the conduction band of the semiconductor.

Temperature-dependent  $I_R$ – $V$  data obtained by Zhang et al [J. Appl. Phys. 2006; 99: 023703] and Osvald et al [Microelectron. Eng. 2005; 81: 181] for Schottky diodes fabricated on  $n$ -GaN are reinterpreted in terms of a phonon-assisted tunnelling model. The temperature and bias voltages dependences of an apparent barrier height (activation energy) observed by other researchers are also explained in the framework of this model.

**Keywords:** Schottky diodes, GaAs, GaN, phonon-assisted tunnelling, barrier height

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### 1. Introduction

In spite of great number of works in the domain, the mechanism of current in Schottky barrier diodes is not fully understood. In terms of a classical thermionic emission theory [1] the forward current density is given by

$$j = j_0 \left[ \exp \left( \frac{qV}{nkT} \right) - 1 \right], \quad (1)$$

where

$$j_0 = A^* T^2 \exp \left( \frac{-q\Phi_{b0}}{kT} \right) \quad (2)$$

is the saturation current density,  $A^*$  is the effective Richardson constant,  $\Phi_{b0}$  is the Schottky barrier height (SBH),  $k$  is the Boltzmann constant, and  $n$  is the ideality factor which describes the deviation of practical diodes from the pure thermionic emission model characterized by  $n = 1$ .

A so-called Richardson plot of  $\ln(j_0/A^*T^2)$  versus inverse temperature  $1/T$  is often used to determine the SBH from the slope of the thermally activated behaviour. According to Eq. (2), one obtains

$$\Phi_{b0} = -\frac{kT}{q} \ln \left( \frac{j_0}{A^*T^2} \right), \quad (3)$$

and the plot of  $\ln(j_0/A^*T^2)$  versus  $1/T$  should yield a straight line with an activation energy  $E_{act} = -q\Phi_{b0}$  if

the SBH  $\Phi_{b0}$  is independent of temperature. However, in most cases these plots do not yield straight lines [2–5]. The curving in these plots is due to the temperature dependences of both  $\Phi_{b0}$  and  $n$ .

In the case of reverse bias voltage, when  $(qV/kT) \gg 1$ , for the current density  $j_r$  from Eq. (2) one obtains

$$j_r = A^* T^2 \exp \left( \frac{-q\Phi_{br}}{kT} \right). \quad (4)$$

The apparent SBH  $\Phi_{br}$  calculated from the gradient of the Richardson plot lines will be lower than  $\Phi_{b0}$  due to the lowering of the barrier height caused by image-force. However, in experiments the obtained decrease in barrier height with the increase in the bias voltage is larger than predicted by inclusion of the image-force lowering. This fact has been pointed out elsewhere [3, 6, 7].

According to thermionic emission theory, the reverse bias current should saturate as the reverse bias voltage increases. However, in real Schottky diodes the expected current saturation is not observed at high reverse bias voltages. This deviation from ideal characteristics was attributed to the change of barrier height with applied voltage. Actually, a strong decrease in the apparent barrier height with the increase of bias voltage was observed for many Schottky diodes [6–12].

Analysis of the  $I$ – $V$  characteristics of Schottky diodes on the basis of thermionic emission theory re-

veals a decrease in the apparent barrier height  $\Phi_{br}$  and an increase in the ideality factor  $n$  with a decrease in temperature [13–22]. Therefore, to explain the dependence of the apparent barrier height on temperature and applied bias voltage, an additional carrier transport mechanism such as tunnelling through the barrier was proposed [9, 19, 23–26].

Standard models, including thermionic emission with image force effects, interfacial layer models with and without surface states, and tunnelling do not adequately explain the temperature dependence of the quality factor and the bending of the Richardson plots. Some peculiarities of the temperature dependent  $I$ – $V$  characteristics of the Schottky diodes are often explained on the basis of a thermionic emission mechanism with Gaussian distribution of the barrier heights at the metal–semiconductor interface [3, 4, 6, 15–22, 27, 28].

The main peculiarities of reverse current–voltage characteristics measured in a wide temperature range for some Schottky diodes have been explained in [29, 30] by involving the phonon-assisted tunnelling processes [31] as a main carrier transport through the barrier. However, the mismatch at low bias voltages between the theory and experiment emerged, namely, at low voltages the current falls slower with bias decrease than the decrease in tunnelling rate with the field strength decreasing. For explanation of this discrepancy between the theory and experiment the Frenkel emission was taken into account in Ref. [30].

In this article we present an explanation of  $I_R$ – $V$  data measured at different temperatures for GaAs diodes by the phonon-assisted tunnelling model using the equation derived by Kudžmauskas [32] which is simpler than the one used in [29, 30] and which well describes the experimental data in a wide range of field strengths and temperatures. On the basis of this model the data obtained by other authors for some Schottky diodes are also analysed.

## 2. Experiment and comparison with theory

The Schottky diodes were formed on the [100]-oriented GaAs  $n$ -type wafers with free carrier concentration of  $5 \cdot 10^{15} \text{ cm}^{-3}$ . An aluminium electrode of diameter 1.0 mm was evaporated at  $100^\circ\text{C}$  as the Schottky contact. An ohmic contact was created on the other side of the wafer. The barrier height of these diodes determined by  $C$ – $V$  measurements was equal to  $(0.60 \pm 0.02) \text{ eV}$ . Reverse current  $I_R$  versus bias voltage  $V$  characteristics were measured in the temperature

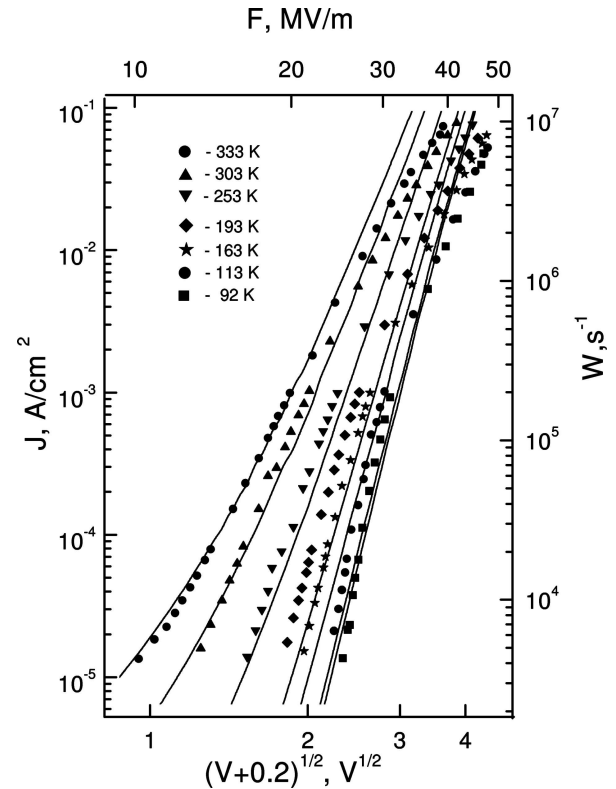


Fig. 1. Current density  $J$  dependences on reverse bias voltage at different temperatures for Al- $n$ /GaAs (symbols) and theoretical dependences  $W(F, T)$  (solid lines) calculated using Eq. (5). The calculation have been carried out for the parameters:  $E_T = 0.60 \text{ eV}$ , phonon energy  $\hbar\omega = 36 \text{ meV}$ , electron effective mass  $m^* = 0.063 m_e$ , electron–phonon interaction constant  $a = 3.5$ . The diffusion voltage  $U_0 = 0.20 \text{ V}$ . The estimated  $N_S = 3.14 \cdot 10^{10} \text{ cm}^{-2}$ .

range of 92–333 K. The typical  $I_R$ – $V$  curves obtained at different temperatures for these diodes are shown in Fig. 1, where one can see the strong temperature dependence of  $I_R$ – $V$  characteristics at low reverse bias and weaker dependence on temperature at higher bias voltages.

We explain the reverse current characteristics and their variation with temperature by a model based on phonon-assisted tunnelling developed in [29]. In accordance with this model, the current transport through the barrier is governed by a process of phonon-assisted electron tunnelling from the states near the metal–semiconductor interface to the conduction band of the semiconductor. The electron population in the states is assumed to be independent of bias voltage due to the continuous filling of interface states from the Al electrode.

Under these conditions, the reverse current density will be equal to  $j_r = qN_S W$ , where  $q$  is the electronic charge unit,  $N_S$  is the electron density in the interface states, and  $W$  is the electron tunnelling rate from these states, which is a function of field strength  $F$  and tem-

perature  $T$ . Thus,  $j_r \propto W(F, T)$  and we can fit the current dependences obtained by measurements with the theoretical tunnel transition through the barrier rate dependences on temperature.

The transition rate  $W(F, T)$  was computed using a less complicated equation than in [29, 30], namely, the equation (10) from Ref. [32]:

$$W = \frac{qF}{(8m^*E_T)^{1/2}} [(1 + \gamma^2)^{1/2} - \gamma]^{1/2} [1 + \gamma^2]^{-1/4} \times \exp \left\{ -\frac{4}{3} \frac{(2m^*)^{1/2}}{qF\hbar} E_T^{3/2} [(1 + \gamma^2)^{1/2} - \gamma]^2 \right\} \times \left[ (1 + \gamma^2)^{1/2} - \frac{\gamma}{2} \right]^2, \quad (5)$$

where

$$\gamma = \frac{(2m^*)^{1/2} \Gamma^2}{8q\hbar F E_T^{1/2}}.$$

Here  $\Gamma^2 = 8a(\hbar\omega)^2(2n + 1)$  is the width of the impurity centre absorption band caused mainly by interaction with optical phonons, where  $n = [\exp(\hbar\omega/kT) - 1]^{-1}$ ,  $\hbar\omega$  is an optical phonon energy,  $E_T$  is the energetic depth of the centre, and  $a$  is the electron–phonon interaction constant ( $a = \Gamma_0^2/[8(\hbar\omega)^2]$ ).

The calculation was performed for the electron effective mass  $m^* = 0.063 m_e$  [33] and the LO phonon energy of GaAs equal to 36 meV [34] was taken. The constant  $a$  was chosen from the best fit of experimental data with theoretical curves of  $W(F)$  computed for different temperatures. From Fig. 1 we can see that theoretical  $W(F)$  dependences describe quite well the experimental data. However, in high voltage region the increase of current with the rise in voltage is significantly slower as compared to the corresponding increase of the phonon-assisted carrier generation rate with field strength. The observed limitation of the current growth can be caused by the resistance of the ohmic electrode. The density of charge in local states estimated from the fit of experimental data to the theory was found to be equal to  $N_S = 3.14 \cdot 10^{10} \text{ cm}^{-2}$ .

In Fig. 2 the fit of  $I_R$ – $V$  data measured by Ebeoglu et al [13] for Ag/*n*-GaAs Schottky diodes with calculated  $W(F)$  dependences is shown. It can be seen that theoretical curves for all measured temperatures provide a good description of the experimental dependences. We want to note that the Richardson plot of  $\ln(I/T^2)$  versus  $1/T$  for this diode is clearly nonlinear (see inset in Fig. 2). The nonlinearity of the Richardson plots implies the dependence of barrier height on

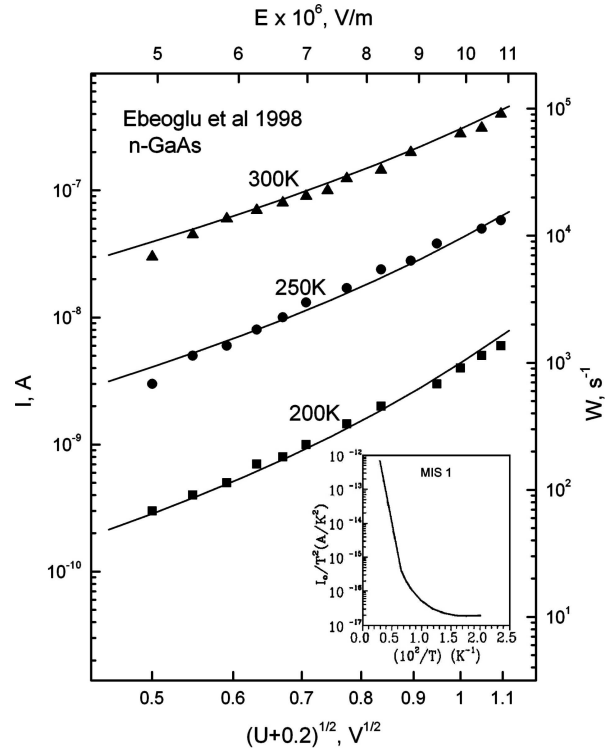


Fig. 2. Current  $I$  versus reverse bias voltage dependences for the *n*-GaAs extracted from [13, Fig. 2] (symbols) fitted with the theoretical  $W$  versus  $F$  dependences (solid lines), computed using parameters:  $E_T = 0.60 \text{ eV}$ ,  $\hbar\omega = 36 \text{ meV}$ ,  $m^* = 0.063 m_e$ ,  $a = 3.5$ ,  $N_S = 2 \cdot 10^{10} \text{ cm}^{-2}$ . The inset represents dependences of  $I_0/T^2$  versus  $1/T$  for the diode MIS 1 taken from the same work.

temperature which changes from 0.66 eV at 300 K to 0.18 eV at 50 K (see Fig. 4 in [13]). Such a change in the SBH is explained by the authors of [13] within the framework of inhomogeneous SBH, namely at low temperature the charge transport will be dominated by the current flow through the patches at lower SBH. As the temperature increases, more electrons have sufficient energy to surmount the higher barrier. As a result, according to the authors of [13], the dominant barrier height will increase with the increase of the temperature and bias voltage. However, the apparent SBH as determined from  $I$ – $V/T$  measurements, in general diminishes with the increase of bias [6–12].

The implementation of this model is promising for the explanation of temperature dependent  $I$ – $V$  characteristics in Schottky diodes fabricated on other semiconductors. Obvious examples of the research are the results obtained for diodes based on *n*-GaN. Recently, a number of papers on current mechanisms in GaN Schottky diodes have been published [19, 25, 26, 35–39].

In a very recent publication Zhang et al [26] have presented temperature dependent characteristics  $I_R$ – $V$

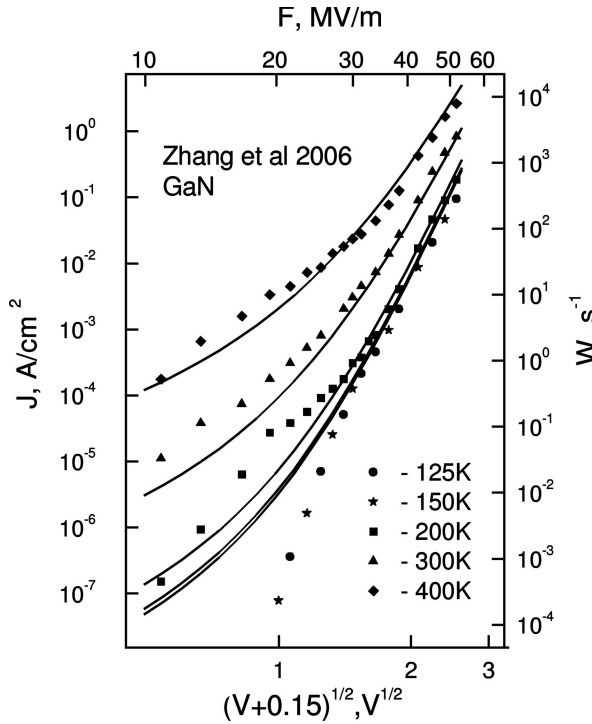


Fig. 3. Comparison of current density  $J$  versus reverse bias voltage for the  $n$ -GaN taken from [26] (symbols) with theoretical  $W$  versus  $F$  dependences computed by using Eq. (5) for the parameters:  $E_T = 0.84$  eV,  $\hbar\omega = 70$  meV,  $m^* = 0.222 m_e$ ,  $a = 1.9$ ,  $N_S = 2 \cdot 10^{15}$  cm $^{-2}$ .

reverse-bias leakage current in Schottky diodes fabricated from GaN and Al $_{0.25}$ Ga $_{0.75}$ N/GaN structures. Below 150 K the leakage current was found to be independent of temperature and was assigned to tunnelling transport. At higher temperatures the leakage current in both diode structures was described by the Frenkel–Poole emission model. However, the comparison of the experimental data measured below 150 K with the Fowler–Nordheim tunnelling model was possible only for unusually small values of effective mass, namely of  $(3.4 \pm 0.3) \cdot 10^{-3} m_e$  for GaN, while the effective mass measured elsewhere [39] was found to be  $0.222 m_e$ . In Fig. 3 we display the comparison of  $I$ – $V/T$  data with the phonon assisted theory. One can see that theoretical dependences computed for the effective mass of electron value of  $0.222 m_e$  and phonon energy equal to 70 meV fit well with the experimental data for all temperatures at the higher bias voltages. Only for  $I$ – $V$  measured at 150 K for low bias voltages a significant deviation of the experiment from the theory is observed, the reason for that being not clear. We note that theoretical  $W(F)$  dependences at temperatures of 125 and 150 K lie quite close, and are consistent with the experiment.

Similar  $I_R$ – $V$  characteristics of GaN Schottky

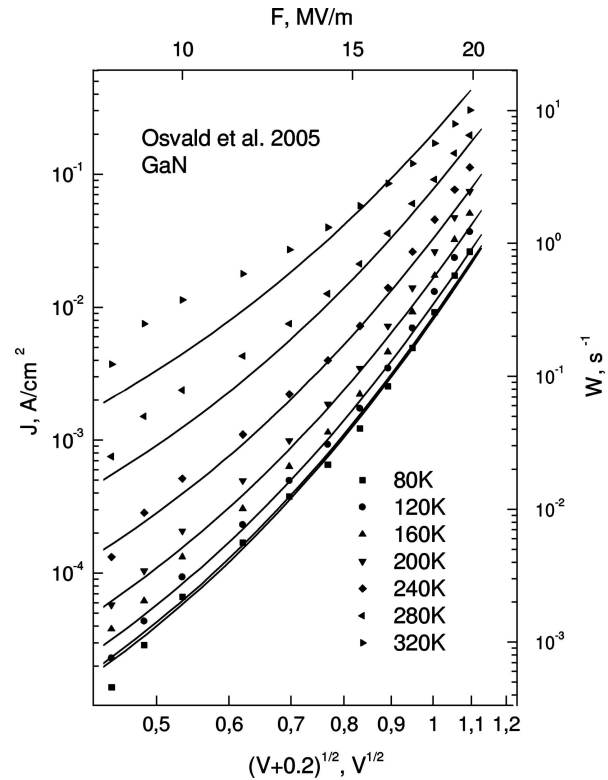


Fig. 4. Comparison of current density  $J$  versus reverse bias voltage for the  $n$ -GaN taken from [19] (symbols) with theoretical  $W$  versus  $F$  dependences computed by using Eq. (5) for the parameters:  $E_T = 0.54$  eV,  $\hbar\omega = 70$  meV,  $m^* = 0.222 m_e$ ,  $a = 0.8$ ,  $N_S = 2 \cdot 10^{17}$  cm $^{-2}$ .

diodes in a wide temperature range from 80 to 320 K have been presented by Osvald et al [19]. As can be seen from Fig. 4, the theoretical curves in all temperature range fit well with the experimental data. It is worthwhile noting that the barrier height derived from  $I_R$ – $V$  curves has a value of 0.53 eV at 320 K and decreases with decreasing the temperature, to a value of 0.16 eV at 80 K.

### 3. Apparent barrier height dependence on temperature and bias voltages

As mentioned above, the SBH derived from  $I$ – $V/T$  characteristics depend on temperature and bias voltage. The reason for such dependence can be explained by the fact that  $\Phi_B$  is calculated from the gradient of Richardson plots which, in general, are nonlinear (see Fig. 5 and the inset in Fig. 2). From Fig. 5 one can see that with increasing the  $V$ , the  $\ln(I_R/T^2)$  versus  $1/T$  (Richardson plot) curves become more curved and the linear region is less pronounced. With the increase of voltage the curve's slope is found to decrease and the bending of curves is observed at lower temperatures. The comparison of these data with the theoretical

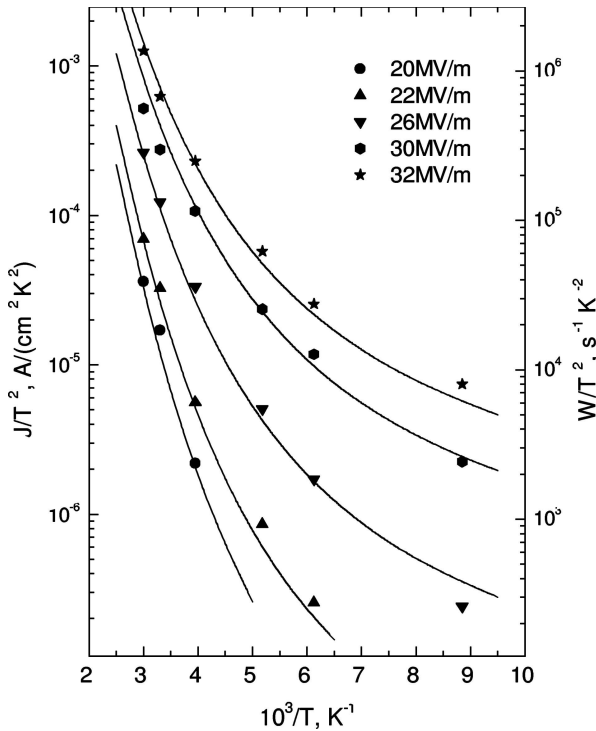


Fig. 5. The Richardson plot of  $\ln(J/T^2)$  versus  $1/T$  dependences for the same diodes as in Fig. 1 (symbols) fitted with the theoretical  $\ln(W/T^2)$  versus  $1/T$  dependences (solid lines) computed using the same parameters as in Fig. 1.

$W(F, T)$  versus  $1/T$  dependences shows a good fit of the theory to experiment.

Only at low field strength do these dependences become straight lines. The decrease in the slope of  $\ln W(F, T)$  curves with a field strength increasing yields in our model a diminution in the apparent barrier height with applied voltage. The phonon-assisted theory predicts exactly the same value of decrease in  $\Phi_{br}$  as obtained from  $I-V/T$  characteristics. The correctness of this assertion will be confirmed by comparison of the activation energy calculated from the gradient of theoretical activation energy  $E_T$ , given by

$$E_T = d \frac{\ln(W/T^2)}{(1/T)}, \quad (6)$$

dependences on field strength and temperature with the experimental  $\Phi_{br}$  dependences on applied voltage.

Figure 6 shows the dependence of the activation energy  $E_T$  on the field strength fitted with experimental values of  $\Phi_{br}$  on bias voltage extracted from [12, 17]. As one can see, both the  $E_T$  and  $\Phi_{br}$  vary with field strength in the same way. It is worth mentioning that a fast decrease in  $E_T$  with a field strength increase occurs in a higher field region.

In Fig. 7 the barrier height dependences on temperature from references [19, 20, 22] fitted with  $E_T$  de-

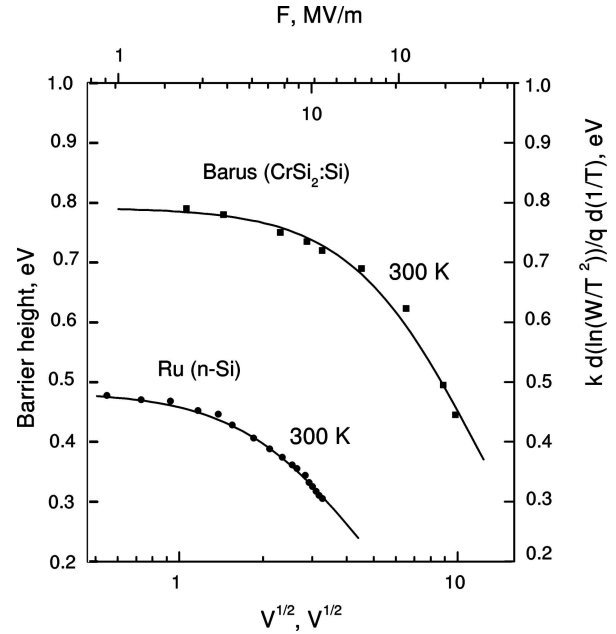


Fig. 6. Reverse bias voltage dependence of the barrier height for the diodes  $\text{CrSi}_2\text{-}n\text{:Si}$  and  $n\text{-Si}$  from Fig. 5 and Fig. 4 in Refs. [7, 12], respectively (symbols), fitted with the gradient of the theoretical activation energy versus  $F$  dependences (solid lines). Upper scale: top for the  $\text{CrSi}_2\text{-}n\text{:Si}$  and bottom for the  $n\text{-Si}$ . Parameters for the computation:  $E_T = 0.80$  eV,  $\hbar\omega = 63$  meV,  $m^* = 0.328 m_e$ ,  $a = 0.8$  for  $\text{CrSi}_2\text{-}n\text{:Si}$  and  $E_T = 0.50$  eV,  $\hbar\omega = 63$  meV,  $m^* = 0.328 m_e$ ,  $a = 0.5$  for  $n\text{-Si}$ , respectively.

pendence on temperature are shown. In this case the theoretical  $E_T$  versus  $T$  dependences also reflect the experimental apparent barrier height dependences on temperature.

In conclusion, we have shown that the phonon-assisted tunnelling model describes well the peculiarities of reverse-bias current–voltage characteristics and their variation with temperature in Schottky diodes. In the terms of this model the voltage and temperature dependence of apparent SBH evaluated from  $I-V/T$  measurements is explained (the problem of the curved behaviour of the Richardson plots falls away as well). The comparison of the temperature dependent  $I-V$  characteristics with the computed tunnelling rate dependences on field and temperature, performed using basic characteristics of the material, allows us to estimate the field strength at which the free charge carriers are generated and the density of charged states near the interface between the metal and semiconductor. We suggest that including this mechanism of carrier flow through the barrier may be fruitful in resolving similar problems in the case of forward bias as well, but this demands the extension of the theory.

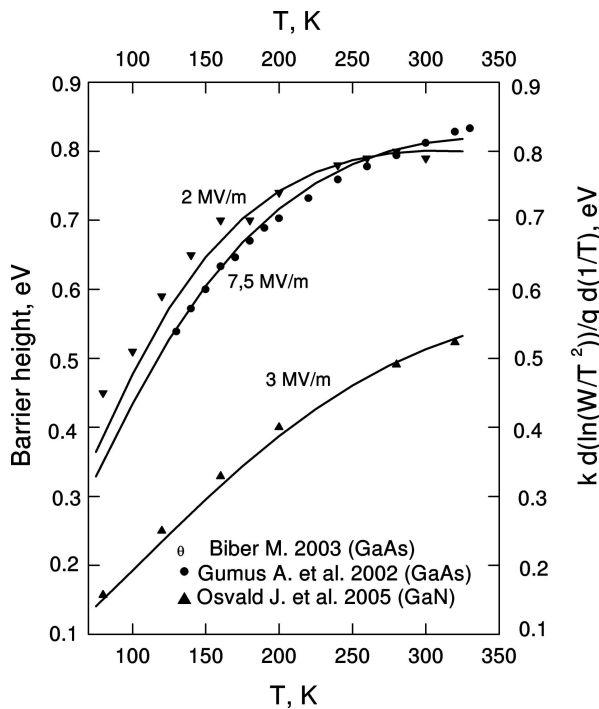


Fig. 7. Temperature dependences of the barrier height for the diodes Cu- $n$ /GaAs from [22], CrNiCo alloy/ $n$ -MBE GaAs from [20] and Ni/Au/ $n$ -GaN from [19], respectively (symbols), fitted with the theoretical activation energy versus  $T$  dependences (solid lines). Parameters for the computation:  $E_T = 0.81$  eV,  $\hbar\omega = 36$  meV,  $m^* = 0.063 m_e$ ,  $a = 3.3$  (Cu- $n$ /GaAs),  $E_T = 0.83$  eV,  $\hbar\omega = 36$  meV,  $m^* = 0.063 m_e$ ,  $a = 3$  for CrNiCo alloy/ $n$ -MBE GaAs and  $E_T = 0.54$  eV,  $\hbar\omega = 70$  meV,  $m^* = 0.222 m_e$ ,  $a = 0.8$  for Ni/Au/ $n$ -GaN, respectively.

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## ATGALINĖ SROVĖ ŠOTKIO DIODOUSE

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### Santrauka

Tirta krūvio pernaša Al-*n*/GaAs Šotkio (Schottky) dioduose. Išmatuotos srovės tankio priklausomybės 92–333 K temperatūros srityje, esant skirtingoms pridėtos atgalinės įtampos vertėms. Kai tos vertės mažos, eksperimentiškai parodyta, kad tiriamuose Šotkio dioduose atgalinės srovės tankio temperatūrinės priklausomybės yra termoaktyvacinio pobūdžio. Tokios eigos atgalinės srovės voltamperinių charakteristikų temperatūrinės priklausomybės aiškinamos fononais paskatintų elektronų tunelinių šuolių iš sandūroje esančių lygmenų į puslaidininkio laidumo juostą modeliu.

Ekspimentiniai rezultatai palyginti su teorinėmis elektronų tunelinių šuolių spartos priklausomybėmis nuo elektrinio lauko stiprio ir temperatūros. Iš palyginimų gautas lokalizuotų elektronų tankis sandūros lygmenyse ( $\approx 10^{11} \text{ cm}^{-2}$ ) ir įvertinti elektrinių laukų sandūroje stipriai.

Tuo pačiu modeliu aiškinamos kitų autorių išmatuotos atgalinių srovių voltamperinių charakteristikų temperatūrinės priklausomybės Ag/*n*-GaAs bei *n*-GaN Šotkio dioduose [13, 19, 26]. Taip pat aiškinamas [7, 12, 19, 20, 22] darbuose nustatytas barjero aukščio (aktyvacijos energijos) kitimas nuo pridėtos atgalinės įtampos ir temperatūros skirtingų medžiagų Šotkio dioduose.